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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)		
	10/672,081	CLARK ET AL.		
Office Action Summary	Examiner	Art Unit		
	WILLIAM J. ALLEN	3625		
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the o	correspondence address		
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DOWN THE MAILING DOWN THE MAILING DOWN THE MAILING DOWN THE MERICAL STATE AND	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be till will apply and will expire SIX (6) MONTHS from the cause the application to become ABANDONE	N. mely filed the mailing date of this communication. ED (35 U.S.C. § 133).		
Status				
1) Responsive to communication(s) filed on 30 D	action is non-final. nce except for formal matters, pro			
Disposition of Claims				
4) ☐ Claim(s) 1-19 and 21-37 is/are pending in the a 4a) Of the above claim(s) is/are withdraw 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1-19, 21-37 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/o	wn from consideration.			
Application Papers				
9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) accomplicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Example 11.	epted or b) objected to by the drawing(s) be held in abeyance. Se ion is required if the drawing(s) is ob	e 37 CFR 1.85(a). jected to. See 37 CFR 1.121(d).		
Priority under 35 U.S.C. § 119				
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>				
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail D 5) Notice of Informal F 6) Other:	ate		

#### **DETAILED ACTION**

# Prosecution History Summary

Claims 1-19 and 21-37 are pending and rejected as set forth below.

#### Response to Arguments

Applicant's arguments with respect to claims 1-19, 21-23, and 24-37 have been considered but are most in view of the new ground(s) of rejection. Applicant's amendment necessitated the new grounds of rejection.

# **Specification**

The amendment filed 12/30/2008 is objected to under 35 U.S.C. 132(a) because it introduces new matter into the disclosure. 35 U.S.C. 132(a) states that no amendment shall introduce new matter into the disclosure of the invention. The added material which is not supported by the original disclosure is as follows: "said order collaboration system operative to transmit a portion of the content of the transitive information to the business entities *in response* to a security parameter" (claim 1), and "aggregating said data in such a way that said aggregated data is responsive to said request, and responsive to a security parameter" (claim 24).

Applicant is required to cancel the new matter in the reply to this Office Action.

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### Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

1. Claims 1-19, 21-23, and 24-37 rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

Regarding claims 1-19 and 21-23, Claims to computer-related inventions that are clearly nonstatutory fall into the same general categories as nonstatutory claims in other arts, namely natural phenomena such as magnetism, and abstract ideas or laws of nature which constitute "descriptive material." Abstract ideas, Warmerdam, 33 F.3d at 1360, 31 USPQ2d at 1759, or the mere manipulation of abstract ideas, Schrader, 22 F.3d at 292-93, 30 USPQ2d at 1457-58, are not patentable. Descriptive material can be characterized as either "functional descriptive material" or "nonfunctional descriptive material." In this context, "functional descriptive material" consists of data structures and computer programs which impart functionality when employed as a computer component. "Nonfunctional descriptive material" includes but is not limited to music, literary works and a compilation or mere arrangement of data. Both types of "descriptive material" are nonstatutory when claimed as descriptive material per se. Warmerdam, 33 F.3d at 1360, 31 USPQ2d at 1759. When functional descriptive material is recorded on some computer-readable medium it becomes structurally and functionally interrelated to the medium and will be statutory in most cases since use of technology permits the function of the descriptive material to be realized.

Independent claim 1 recites certain features that represent software per se, thereby rendering the claim non-statutory. Specifically, claim 1 recites a "dictionary of translations", the dictionary drawn a series of tables of cross-reference data (see Paragraph 0114). The dictionary, then, merely represents an arrangement of data tables and thus data per se. Similarly, claim 1

recites an "order collaboration system". Despite the recitation of "system" in this element, Applicant's specification describes the order collaboration system as including "software modules that manipulate information stored in the database" (see Paragraph 0072) absent any hardware components. The order collaboration system is thereby directed to software per se.

Dependent claims 2-19 and 21-23 fail to remedy the above noted deficiencies, thereby, claims 1-19 and 21-23 are considered non-statutory subject matter.

Regarding claims 24-37, based upon the Court of Appeals for Federal Circuit's recent decision, in order to qualify as a statutory process (i.e. method), a method claim must meet a specialized, limited meaning to qualify as a patent-eligible process claim. The test for a method is whether the claimed method is (1) tied to a particular machine or apparatus, or (2) transforms a particular article to a different state or thing. In addition, mere field of use limitations or limitations reciting insignificant extra-solution activity will not transform an unpatentable process into a patentable one as the machine or transformation must impose meaningful limits on the method claim's scope. This means that reciting a particular machine or transformation in an insignificant step (e.g. data gathering, outputting, displaying, receiving, and the like) will not move to make an unpatentable process patentable.

Claims 24-37 fail to recite a machine or apparatus in such a way as to impose meaningful limitations upon the claim. Moreover, merely claiming the storage or transferring of data (e.g. "said data is stored in a database coupled to said hub") does not move to make an non-statutory claim statutory as such activities merely represent insignificant extra solution activities.

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## Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

2. Claims 1-19 and 21-37 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

Regarding claims 1-19 and 21-37, these claims encompass subject matter not supported by the original disclosure. For example, claim 1 recites "said order collaboration system operative to transmit a portion of the content of the transitive information to the business entities in response to a security parameter". Similarly, claim 24 recites "aggregating said data in such a way that said aggregated data is responsive to said request, and responsive to a security parameter". Dependent claims 2-19, 21-23, and 25-37 fail to remedy the above noted deficiencies and are rejected for at least the reasons regarding claims 1 and 24.

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### Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

3. Claims 1-19 and 21-37 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Regarding claims 1 and 24, claim 1 recites "said order collaboration system operative to transmit a portion of the content of the transitive information to the business entities *in response to a security parameter*". Similarly, claim 24 requires "aggregating said data in such a way that said aggregated data is responsive to said request, *and responsive to a security parameter*". Applicant's disclosure does not provide an adequate description of the term "security parameter" and further fails to show the necessary use of the parameter as claimed. For examination purposes, the term shall be interpreted to encompass any security measure taken to prevent undesired or unauthorized use of information (e.g. user name and password, public/private key, or the like).

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## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

4. Claims 1-2, 4-7, 16-20, 24-25, and 31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wong (US 20030149578) in view of Ouchi (US 20030036968) in view of Beurskens (US 7200572) in view of Rivera (US 20020107699) in further view of Yehia (US 20020091614).

#### Regarding claim1, Wong teaches:

- One or more business entities defining a head of a supply chain (see at least: abstract, 0007-0009, 0055, Fig. 12).
- One or more sets of tiers of suppliers, wherein each said set of tiers is disposed in an extended supply chain (see at least: 0010). The Examiner notes that the suppliers of the unit level components constitute a tier of suppliers in an extended supply chain.
- A workstation coupled to said one or more business entities and said one or more of tier of suppliers (see at least: 0055-0056, 0058, Fig. 12).
- A database including information from said one or more sets of tiers of suppliers relating to two or more of the following: price, inventory, delivery schedules, backorders and supply interruptions, exceptional events, contracts and past transactions (see at least:

0072-0073, 0094, 0787). Note: database stores demand, days of supply (DOS), target DOS and actual supply, exception events, lead-time, inventory, etc. and thereby teaches a database with *two or more* of the above.

• An order collaboration system coupled to said electronic commerce facilitator, whereby said one or more business entities can view updates to supply chain conditions for said plurality of said tiers (see at least: 0381-0384). The system further includes an execution module that receives the determination from the decision support module, triggers an action that is corrective and generates an interactive output (see at least: abstract). By providing and interactive output and allowing the user to hold, accept, or terminate actions, the IPA system permits a user to view updates to supply chain conditions and make a decision based on those conditions and the proposed actions. The Examiner further notes the workstation is coupled to the resolution manager (order collaboration system).

Wong teaches all of the above and further suggests using such a feature as a dictionary of translation, stating that the IPA is configured to map and translate B2B compliant messages (see at least: 0056, 0069, 0097, 0717, Table 18). Wong, however, does not explicitly teach a dictionary of translations that can be used to translate transitive information in said information from one or more sets of tiers of suppliers for cross-tier communication in said extended supply chain.

In the same field of endeavor, Ouchi teaches an electronic information transfer between trading partners using a standard format (see at least: abstract). More specifically, Ouchi teaches various

"processes" such as A-S which represent various transformations that make up a multitude of transformations (i.e. form a dictionary)(see at least: 0039, Fig. 4). Ouchi further discloses that, aside from just the transformation of format, similar processing is used to transform information (i.e. content), such as part number, to standard part numbers and then subsequently to the receiving trading partners part numbers (see at least: 0046, Fig. 11A-11B; Note Applicant's remarks filed 7/9/2007, page 16, first paragraph). In this respect, Ouchi clearly teaches a dictionary of translations that can be used to translate the content of transitive information in said information from one or more sets of tiers of suppliers for cross-tier communication in said extended supply chain.

It would have been obvious to one of ordinary skill in the art at the time of invention to have modified the invention of Wong to have included the noted features as taught by Ouchi because the incorporation of such features is no more than the combination of known prior art elements according to their established function yielding predictable results.

Furthermore, Wong teaches a database including information related to two or more of *price*, *inventory*, *delivery schedules*, *backorders and supply interruptions*, *exceptional events*, *contracts* and *past transactions* (see at least: 0072-0073, 0094, 0787). In particular, Wong discloses where a database stores demand [related to *price* and *inventory*] days of supply (DOS) [relates to *inventory* and *delivery schedules*], target DOS and actual supply [related to *supply interruptions* and *inventory*], *exceptional events*, lead-time [related to *backorders*, *interruptions*, and *inventory*], *inventory* itself, etc. Wong thereby teaches the claimed limitation. Wong merely does

not teach where the information relates to one or more contracts *and* one or more of the above. In the same field of endeavor, Beurskens teaches a method for pricing commodities (see at least: abstract). Specifically speaking, Beurskens teaches *a database including information from a set of suppliers in a supply chain relating to one or more contracts* (see at least: col. 5 lines 55-58, col. 6 lines 53-60, col. 10 lines 30-40, Figures 1A (server 10), 2, 4-10, and 17).

It would have been obvious to one of ordinary skill in the art at the time of invention to have modified the invention of Wong to have included the noted features as taught by Beurskens because the incorporation of such features is no more than the combination of known prior art elements according to their established function yielding predictable results.

In addition, though the combination Wong and Ouchi teaches all of the above including transmitting transitive information to the business entities (see Ouchi), the combination fails to teach said order collaboration system operative to transmit a portion of the content of the transitive information to the business entities in response to a security parameter.

In the same field of endeavor, Rivera teaches a system and method for exchanging data between a supplier and a buyer through the incorporation of both parties' backend systems (see at least: abstract). Moreover, Rivera teaches where the system is operative to *transmit a portion of the content of the transitive information to the business entities in response to a security parameter* (see at least: 0057-0058, Fig. 10 – note: the use of a login is analogous to a *security parameter*).

It would have been obvious to one of ordinary skill in the art at the time of invention to have modified the invention of Wong and Ouchi to have included the noted features as taught by Rivera because the incorporation of such features is no more than the combination of known prior art elements according to their established function yielding predictable results.

Lastly, Wong teaches the combination teaches an order collaboration system and database storing information regarding supplier contracts but does not expressly teach where the system is operative to determine contract compliance among the tiers of suppliers in response to one of the following: price, inventory, delivery schedules, backorders and supply interruptions, exceptional events, and past transactions.

In the same field of endeavor, Yehia discloses a system and method for reconciling contracts between two or more trading partners, the system based on a hub and spoke model. When a contract is received it is parsed into requested tags. Each tag represents a predefined field in a contract such as price, quantity, delivery date, and/or other contractual terms. Each partner in the hierarchical contract relationship places predefined rules in the system. The contract tag values are analyzed for compliance with the requested tag values to determine if the requested tag values are in compliance with the contract tag values bases on one or more predefined rules. Contracts with outside providers act as a virtual inventory, making it critical to track orders against contracts in order for trading partners to be able to initiate multilateral actions to resolve issues (see at least: abstract; [0016]). Yehia, then, effectively teaches where the system is operative to determine contract compliance among the tiers of suppliers in response to one of the

following: price, inventory, delivery schedules, backorders and supply interruptions, exceptional events, and past transactions.

It would have been obvious to one of ordinary skill in the art at the time of invention to modify the invention of Wong in view of Ouchi in view of Beurskens to provide a compliance element capable of reviewing and enforcing contract terms as taught by Yehia in order to provide a contract management system with supply chain visibility, allowing trading partners to initiate multilateral actions and resolve supply issues more expediently (see at least: Yehia, abstract, 0016).

Regarding claim 2, Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia further discloses *including an aggregation element for aggregation of information relating to one or more products associated with said one or more business entities, wherein said information is stored in a database* (see at least: Wong, 0059). An ERP of a business entity connected to the system may determine that due to additional output required by a buyer, production needs to be increased at a manufacturing facility (i.e. *information relating to one or more products*). The request from the ERP is received and extracted by an external program, and then forwards the extracted information, allowing the IPA to receive the raw ERP data to the ERP raw database (see at least: 0071). The Examiner notes that in this instance, the ERP system and ERP raw database gather (i.e. *aggregate*) information and store it in a database.

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Regarding claim 4, Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia further discloses said aggregation element includes a performance evaluation element capable of collecting and analyzing information regarding supply chain performance of multiple tiers of suppliers (see at least: Wong, 0109-0111). The application of the implication manager is performed in, but not limited to, the context of cost, availability (dearth or surplus), responsiveness (delivery schedule) and quality issues (quality defects). The implication manager is in communication with the ERP raw database and receives part identifier and exception event information. The Examiner notes that the system is monitoring the conditions for multiple parts, which in turn are supplied from a number of suppliers, thereby creating multiple tiers of suppliers.

Regarding claim 5, Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia further discloses wherein said information regarding supply chain information includes at least one of: costs, ship dates, evaluation regarding whether a selected supplier performed well with regard to price adjustments, with regard to promised supply amounts or deliver schedules, whether the selected supplier has had an unusual number of quality defects, or whether there have been an unusual number of supply chain exceptions (see at least: Wong, 0109-0110). The implication manager evaluates the context and implications of an exception event. The application of the implication manager is performed in (but not limited to) the context of cost, availability (dearth or surplus), responsiveness (delivery schedule) and quality issues (quality defects).

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Regarding claim 6 and 7, Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia further discloses a system including a brokering module that is part of said hub or logically distinct from said hub and acts on that information on dearth and surplus parts and products to attempt to broker deals between or among entities that have dearth and surplus of the same parts or product, whereby the dearth and surplus are eliminated or mitigated (see at least: Wong, 0005, 0007, 0102-0103, 0108, 0754-0756, Fig. 10-11). The IPA system manages exceptions to normal operating situations in the procurement of supplies (e.g. events that require a corrective action due to a corresponding condition in the procurement process such as supply shortage). An action module works simultaneously with the auto trigger manager to resolve exception events. For example, the action module may send an inquiry to a supplier for a lead-time reduction request (i.e. mitigating a dearth of supply). Furthermore, additional vendors may be sought out and contacted regarding an alternate supply of products or parts (see at least: [0754] to [0756]; FIG. 10 and 11). The Examiner notes that by seeking out alternate vendors and inquiring about lead-time reductions the system is attempting to broker deals with other entities. Additionally, as part of the IPA system, the ERP and ERP raw database work in conjunction with the multiple modules and managers to disseminate information throughout the system as needed.

# Regarding claims 16, Wong in view of Ouchi in view of Beurskens teaches:

• Said information transferred across the supply chain is done so via said hub. The system also comprises a web server as well as an IPA APP server for transmitting data, messages, etc. throughout the various elements of the system (see at least: 0068, 0511, FIG. 12).

- Said messages contain reference to one or more said messages that are its causal antecedents (see at least: 0084, 0372). The Examiner notes that the request for a corrective action references a previous action, and therefor a previous message.
- Said references contained are analyzed by said aggregation element. The recipient of the
  message can issue a reply, which is transmitted to the implication manager. The
  implication manager evaluates collective impact of acknowledged corrective action (see
  at least: 0084-0085).

Wong in view of Ouchi in view of Beurskens teaches all of the above and further suggests using such a feature as a dictionary of translation, stating that the IPA is configured to map and translate B2B compliant messages (see at least: 0056, 0069, 0097, 0717, Table 18). Wong in view of Ouchi in view of Beurskens, however, does not explicitly teach where said aggregation element uses the analysis to build said dictionary, storing said analysis in said database, and reporting said dictionary to said one or more business entities or said suppliers via said order collaboration system.

In the same field of endeavor, Rivera teaches where said aggregation element uses the analysis to build said dictionary, storing said analysis in said database, said dictionary able to be reported to said one or more business entities or said suppliers via said order collaboration system (see at least: abstract, 0008-0010, 0053-0055, claims 1, 26, and 33). The Examiner notes that the data manager extracts (i.e. aggregates) relevant data, analyzes the data, translates it into a neutral format, and stores the neutral format in a central database that is associated with the

appropriate trading partner (i.e. originating or destination party). With the data translated into the neutral format and stored, its is then available to the destination party (i.e. able to be reported).

It would have been obvious to one of ordinary skill in the art at the time of invention to have modified the invention of Wong in view of Ouchi in view of Beurskens to have included aggregating, building, analyzing and reporting said database as taught by Rivera in order to provide a flexible, low-impact for connecting trading partners, thereby easily managing "any-to-any" system integration and translation in a complex "many-to-many" trading partner environment, including trading partners arranged in a multi-link supply chain (see at least: 0012, 0030).

Regarding claim 17 and 18, Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia further discloses a feedback element capable of obtaining feedback information for a design process in response to supply chain performance and wherein said feedback information includes information relating to at least one of: selected preferred parts, selected preferred suppliers at one of said multiple tiers, selected parts that do not require new approval for use, selected preferred parts suppliers that do not need approval. The system manages the supply of a good (i.e. part, component, or the like for a designed system) based on a request for said good using a decision support module that evaluates said request against a plurality of indicators and determines whether said request involves an exception that is indicative of a procurement problem in accordance with exception data. An execution module receives a determination from the decision support module, triggers an action that is configured

to correct said exception and generates an interactive output (i.e. feedback) to an external entity (see at least: 0026). Furthermore, the Examiner notes that the system is adapted to manage the supply of individual parts (see at least: 0046, 0096, 0098).

Regarding claims 19-20, Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia teaches all of the above and further teaches generating corrective actions for exception events such as supply shortage, quality issues, etc. (see at least: abstract). Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia, however, does not teach a compliance element capable of reviewing and enforcing compliance with contract terms between one or more business entities and its suppliers, wherein compliance includes at least one of: delivery price, delivery quantity, price-quantity breakpoints, terms for part returns, and delivery methods.

In the same field of endeavor, Yehia discloses a system and method for reconciling contracts between two or more trading partners, the system based on a hub and spoke model. When a contract is received it is parsed into requested tags. Each tag represents a predefined field in a contract such as price, quantity, delivery date, and/or other contractual terms. Each partner in the hierarchical contract relationship places predefined rules in the system. The contract tag values are analyzed for compliance with the requested tag values to determine if the requested tag values are in compliance with the contract tag values bases on one or more predefined rules. Contracts with outside providers act as a virtual inventory, making it critical to track orders

against contracts in order for trading partners to be able to initiate multilateral actions to resolve

issues (see at least: abstract; [0016]).

It would have been obvious to one of ordinary skill in the art at the time of invention to modify the invention of Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia to provide a compliance element capable of reviewing and enforcing contract terms as taught by Yehia in order to provide a contract management system with supply chain visibility, allowing

trading partners to initiate multilateral actions and resolve supply issues more expediently (see at

least: Yehia, abstract, 0016).

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# **Regarding claim 24,** Wong teaches:

Receiving a request from one or mote business entities regarding supply chain information relevant to one or more designs of parts used in designs (see at least: 0010, 0055). When an exception (e.g. shortage) is detected, the system generates actions (i.e. requests) that are responded to by suppliers (see at least: 0055). Each product or finished good may include hundreds or thousands of unit level components (i.e. parts used in designs).

- Determining which data is relevant to said request, wherein said data is derived from one or more suppliers across one or more supply chains or past business records associated with said manufacture ad is related to at least one of the following: price of at least one electronic or computer part, quantity available of said electronic or computer part, delivery schedules for said electronic or computer part, backorders, supply interruptions, exceptional events and contracts, and said data is stored in a database coupled to a hub (see at least: 0109, 0111) in the context of the context of cost, availability (dearth or surplus), responsiveness (delivery schedule) and quality issues (quality defects), shortage/quantity available, lead-time issues, etc.
- Aggregating said data in such a way that said aggregated data is responsive to said request (see at least: 0059, 0071). The Examiner notes that in this instance, the ERP system and ERP raw database gather (i.e. aggregate) information and store it in a database and aid in generating responses to requests from an IPA user.

• Generating a report and presenting said report to said one or more business entities, wherein said report is responsive to said request (see at least: abstract; 0381-0384). By providing an interactive output and allowing the user to hold, accept, or terminate actions, the IPA system presents a report responsive to the request.

Wong teaches all of the above and further suggests using such a feature as a dictionary of translation, stating that the IPA is configured to map and translate B2B compliant messages (see at least: 0056, 0069, 0097, 0717, Table 18). Wong, however, does not explicitly teach translating, for cross-tier communication in said extended supply chain, the content of transitive information in said data using a dictionary of translations of said transitive information.

In the same field of endeavor, Ouchi teaches an electronic information transfer between trading partners using a standard format (see at least: abstract). More specifically, Ouchi teaches various "processes" such as A-S which represent various transformations that make up a multitude of transformations (i.e. form a dictionary)(see at least: 0039, Fig. 4). Ouchi further discloses that, aside from just the transformation of format, similar processing is used to transform information (i.e. content), such as part number, to standard part numbers and then subsequently to the receiving trading partners part numbers (see at least: 0046, Fig. 11A-11B; Note Applicant's remarks filed 7/9/2007, page 16, first paragraph). In this respect, Ouchi clearly teaches translating, for cross-tier communication in said extended supply chain, the content of transitive information in said data using a dictionary of translations of said transitive information.

It would have been obvious to one of ordinary skill in the art at the time of invention to have modified the invention of Wong to have included the noted features as taught by Ouchi because

the incorporation of such features is no more than the combination of known prior art elements according to their established function yielding predictable results.

Furthermore, Wong teaches a database including information related to two or more of *price*, *inventory*, *delivery schedules*, *backorders and supply interruptions*, *exceptional events*, *contracts* and *past transactions* (see at least: 0072-0073, 0094, 0787). Wong merely does not teach where the information *relates to one or more contracts* and where the report *identifies the terms of said contract related to said request*. In the same field of endeavor, Beurskens teaches a method for pricing commodities (see at least: abstract). Specifically speaking, Beurskens teaches a *database including information from a set of suppliers in a supply chain relating to one or more contracts* as well as where a report *identifies the terms of said contract related to said request* (see at least: col. 5 lines 55-58, col. 6 lines 53-60, col. 10 lines 30-40, Figures 1A (server 10), 2, and 4-17).

It would have been obvious to one of ordinary skill in the art at the time of invention to have modified the invention of Wong to have included the noted features as taught by Beurskens because the incorporation of such features is no more than the combination of known prior art elements according to their established function yielding predictable results.

In addition, though the combination Wong and Ouchi teaches all of the above including aggregating said data, the combination fails to teach where the aggregation is also done *in response to a security parameter*.

In the same field of endeavor, Rivera teaches a system and method for exchanging data between a supplier and a buyer through the incorporation of both parties' backend systems (see at least: abstract). Moreover, Rivera teaches where the system is operative to *aggregate data* (i.e. collect and present for display) *in response to a security parameter* (see at least: 0057-0058, Fig. 10 – note: the use of a login is analogous to a *security parameter*).

It would have been obvious to one of ordinary skill in the art at the time of invention to have modified the invention of Wong and Ouchi to have included the noted features as taught by Rivera because the incorporation of such features is no more than the combination of known prior art elements according to their established function yielding predictable results.

Lastly, the combination teaches generating a report and presenting said report to said one or more business entities but does not expressly teach where the report *indicates contract* compliance.

In the same field of endeavor, Yehia discloses a system and method for reconciling contracts between two or more trading partners, the system based on a hub and spoke model. When a contract is received it is parsed into requested tags. Each tag represents a predefined field in a contract such as price, quantity, delivery date, and/or other contractual terms. Each partner in the hierarchical contract relationship places predefined rules in the system. The contract tag values are analyzed for compliance with the requested tag values to determine if the requested tag values are in compliance with the contract tag values bases on one or more predefined rules. Contracts with outside providers act as a virtual inventory, making it critical to track orders

against contracts in order for trading partners to be able to initiate multilateral actions to resolve issues (see at least: abstract; [0016], Fig. 4 and 11).

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It would have been obvious to one of ordinary skill in the art at the time of invention to modify the invention of Wong in view of Ouchi in view of Beurskens to provide a compliance element capable of reviewing and enforcing contract terms as taught by Yehia in order to provide a contract management system with supply chain visibility, allowing trading partners to initiate multilateral actions and resolve supply issues more expediently (see at least: Yehia, abstract, 0016).

Regarding claim 25, Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia teaches all of the above and further teaches generating corrective actions for exception events such as supply shortage, quality issues, etc. (see at least: abstract). Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia, however, does not teach a compliance element capable of reviewing and enforcing compliance with contract terms between one or more business entities and its suppliers, wherein compliance includes at least one of: delivery price, delivery quantity, price-quantity breakpoints, terms for part returns, and delivery methods.

In the same field of endeavor, Yehia discloses a system and method for reconciling contracts between two or more trading partners, the system based on a hub and spoke model. When a

contract is received it is parsed into requested tags. Each tag represents a predefined field in a contract such as price, quantity, delivery date, and/or other contractual terms. Each partner in the hierarchical contract relationship places predefined rules in the system. The contract tag values are analyzed for compliance with the requested tag values to determine if the requested tag values are in compliance with the contract tag values bases on one or more predefined rules. Contracts with outside providers act as a virtual inventory, making it critical to track orders against contracts in order for trading partners to be able to initiate multilateral actions to resolve issues (see at least: abstract; [0016]).

It would have been obvious to one of ordinary skill in the art at the time of invention to modify the invention of Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia to provide a compliance element capable of reviewing and enforcing contract terms as taught by Yehia in order to provide a contract management system with supply chain visibility, allowing trading partners to initiate multilateral actions and resolve supply issues more expediently (see at least: Yehia, abstract, 0016).

**Regarding claim 31,** claim 31 closely parallels claim 16 and is rejected for at least the same rationale.

5. Claims 3, 21, and 28-29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia, as applied to 1-2, 4-7, 16-20, 24-25, and 31 above, and further in view of Katz et al. (US 20020178077).

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Regarding claim 3, Wong in view of Ouchi teaches all of the above as noted and further teaches aggregating information and determining corrective actions for exception events such as supply shortage while generating interactive outputs containing aggregation (see at least: Wong, abstract; [0071]; [0381] to [0384]). Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia, however, does not teach a presentation element wherein said presentation element presents aggregated information. Katz discloses a method and system that enables suppliers and procurement professionals to leverage enterprise and marketplace data in order to potentially improve decision making in business enterprises. Internal data from enterprises and external data from suppliers, catalogs, and marketplaces are integrated and analyzed in real time for their impact on supply chains processes (see at least: abstract). Katz further discloses displaying recommendations as aggregated reports on a user interface (i.e. presentation element) (see at least: abstract, 0019, 0065). It would have been obvious to one of ordinary skill in the art at the time of invention to modify the invention of Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia to include allocation of parts in relatively short supply to selected projects as taught by Katz in order to enable suppliers and procurement professionals to leverage enterprise and marketplace data, thereby improving decision-making in business enterprises (see at least: Katz, abstract).

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Regarding claim 21, Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia teaches all of the above as noted and further teaches determining corrective actions for exception events such as supply shortage (see at least: Wong, abstract; [0381] to [0384]). Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia, however, does not teach directing said suppliers to allocate parts in a relatively short supply to selected projects. Katz discloses a method and system that enables suppliers and procurement professionals to leverage enterprise and marketplace data in order to potentially improve decision making in business enterprises. A BOM optimization module aids in determining the optimal allocation of components in the face of a component shortage. Thus, if there is a shortage of a component with part number X and if part number X is used in a plurality of products 1-N, then what the manufactured quantities should be are determined considering criteria set by procurement, manufacturing, and/or finance (see at least: abstract; [0315]). It would have been obvious to one of ordinary skill in the art at the time of invention to modify the invention of Wong to include allocation of parts in relatively short supply to selected projects as taught by Katz in order to enable suppliers and procurement professionals to leverage enterprise and marketplace data, thereby improving decision-making in business enterprises (see at least: Katz, abstract).

Regarding claim 28, Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia teaches all of the above as noted and further teaches aggregating information and

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determining corrective actions for exception events such as supply shortage (see at least: abstract; [0381] to [0384]). Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia, however, does not teach evaluating the supply chain performance of multiple tiers of suppliers. Katz discloses a method and system that enables suppliers and procurement professionals to leverage enterprise and marketplace data in order to potentially improve decision making in business enterprises. Internal data from enterprises and external data from suppliers, catalogs, and marketplaces are integrated and analyzed in real time for their impact on supply chains processes (see at least: abstract). A BOM optimization module aids in determining the optimal allocation of components in the face of a component shortage (see at least: [0315]). It would have been obvious to one of ordinary skill in the art at the time of invention to modify the invention of Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia to include allocation of parts in relatively short supply to selected projects as taught by Katz in order to enable suppliers and procurement professionals to leverage enterprise and marketplace data, thereby improving decision-making in business enterprises (see at least: Katz, abstract).

Regarding claim 29, Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia teaches all of the above as noted under the 102(e) rejection and further teaches determining corrective actions for exception events such as supply shortage (see at least: abstract; [0381] to [0384]). Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia, however, does not teach *directing said suppliers to allocate parts in a relatively short supply to selected projects*. Katz discloses a method and system that enables suppliers and procurement professionals to leverage enterprise and marketplace data in order to potentially

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improve decision making in business enterprises. A BOM optimization module aids in determining the optimal allocation of components in the face of a component shortage. Thus, if there is a shortage of a component with part number X and if part number X is used in a plurality of products 1-N, then what the manufactured quantities should be are determined considering criteria set by procurement, manufacturing, and/or finance (see at least: abstract; [0315]). It would have been obvious to one of ordinary skill in the art at the time of invention to modify the invention of Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia to include allocation of parts in relatively short supply to selected projects as taught by Katz in order to enable suppliers and procurement professionals to leverage enterprise and marketplace data, thereby improving decision-making in business enterprises (see at least: Katz, abstract).

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6. Claims 8-10, 12-15, and 32-37 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia, as applied to claims 1-2, 4-7, 16-20, 24-25, and 31, and further in view of Johnson et al. (US 20030023540).

Regarding claims 8-10, and 15, Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia teaches all of the above as noted and further teaches attempting to broker deals with multiple vendors/suppliers to resolve exception events (see at least: Wong, [0754] to [0756]). Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia, however, does not teach where one or more entities with said dearth in a certain part or product can be matched with one or more said entities with a surplus in the same said part or product, a brokering module brokers a deal among on or more said entities, where each entity can choose whether they would like to participate in the brokered deal. Johnson discloses a system and method for matching energy suppliers in need of resources to those with a surplus of resources in an auction format to stimulate competition between various providers. A local electric utility may be selling excess generating capacity (from its own generating plants) or buying power from nearby utilities, resellers, traders or brokers to cover a shortfall in its own supply (e.g., during certain peak periods), thereby matching an entity with a surplus to an entity with a shortage (see at least: [0002]). A bidding moderator receives offers from competing suppliers specifying the economic terms each is willing to offer to end users for estimated quantities of electric power or gas supply (separate auctions), thereby brokering a deal between the entities (see at least: abstract, [0002]). Bidding takes place between participating providers

(i.e. those who have *chosen to* participate). All Providers will have the opportunity thereafter to submit a lower or higher bid for any end user (or any reseller or group of resellers) or group of end users to whom they wish to supply electric power or natural gas (i.e. *opt out of* participating if they do not wish to sell to an end user) (see at least: [0003]). It would have been obvious to one of ordinary skill in the art at the time of invention to modify the invention of Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia to include matching entities from one or more brokering groups with corresponding dearth and surplus in order to stimulate this competition and facilitate the consumer's ability (and that of resellers) to make economic choices between providers. (see at least: Johnson, abstract, 0003).

Regarding 12-14, Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia teaches all of the above as noted and further teaches attempting to broker deals with multiple vendors/suppliers to resolve exception events (see at least: Wong, [0754] to [0756]). Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia further lacks said entities only matched within brokering groups, where a single said brokering group contains zero or more said entities, and the group of said entities can be within a single supply chain, across supply chains, or from within and outside of any number of supply chains, and where said brokering group can be assigned by said hub or by another said entity acting with authority from said hub. Johnson discloses a system and method for matching energy suppliers in need of resources to those with a surplus of resources in an auction format. A bidding moderator (Moderator) receives offers from competing suppliers specifying the economic terms each is willing to offer to end users for estimated quantities of electric power or gas supply in

separate auctions. The bidding process to supply electric power will be conducted separate and apart from the bidding process to supply natural gas. Power generators will compete only with other power generators. Gas producers will compete only with other gas producers (see at least: abstract; [0003]). The Examiner notes that the different auctions constitute brokering groups with multiple entities. Furthermore, the entities are matched in their specific auctions (i.e. within the brokering group), and thereby are involved in zero or more brokering groups. Additionally, a moderator (i.e. entity acting with authority) can select those Providers from whom participating end users or resellers will be provided electric power or natural gas and can change that selection at any time (see at least: [0003]). It would have been obvious to one of ordinary skill in the art at the time of invention to modify the invention of Wong to include matching entities from one or more brokering groups with corresponding dearth and surplus in order to stimulate this competition and facilitate the consumer's ability (and that of resellers) to make economic choices between providers. (see at least: Johnson, abstract, 0003).

Regarding claims 32-34, and 37 Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia teaches all of the above as noted and further teaches attempting to broker deals with multiple vendors/suppliers to resolve exception events (see at least: [0754] to [0756]). Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia, however, does not teach where one or more entities with said dearth in a certain part or product can be matched with one or more said entities with a surplus in the same said part or product, a brokering module brokers a deal among on or more said entities, where each entity can choose whether they would like to participate in the brokered deal. Johnson discloses a system and

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method for matching energy suppliers in need of resources to those with a surplus of resources in an auction format to stimulate competition between various providers. A local electric utility may be selling excess generating capacity (from its own generating plants) or buying power from nearby utilities, resellers, traders or brokers to cover a shortfall in its own supply (e.g., during certain peak periods), thereby matching an entity with a surplus to an entity with a shortage (see at least: [0002]). A bidding moderator receives offers from competing suppliers specifying the economic terms each is willing to offer to end users for estimated quantities of electric power or gas supply (separate auctions), thereby brokering a deal between the entities (see at least: abstract, [0002]). Bidding takes place between participating providers (i.e. those who have chosen to participate). All Providers will have the opportunity thereafter to submit a lower or higher bid for any end user (or any reseller or group of resellers) or group of end users to whom they wish to supply electric power or natural gas (i.e. opt out of participating if they do not wish to sell to an end user) (see at least: [0003]). The Examiner notes that the participating suppliers represent all known suppliers. It would have been obvious to one of ordinary skill in the art at the time of invention to modify the invention of Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia to include matching entities from one or more brokering groups with corresponding dearth and surplus in order to stimulate this competition and facilitate the consumer's ability (and that of resellers) to make economic choices between providers. (see at least: Johnson, abstract, 0003).

**Regarding claims 35-36,** Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia teaches all of the above as noted and further teaches attempting to broker deals

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with multiple vendors/suppliers to resolve exception events (see at least: [0754] to [0756]). Wong further lacks said entities only matched within brokering groups, where a single said brokering group contains zero or more said entities, and the group of said entities can be within a single supply chain, across supply chains, or from within and outside of any number of supply chains, and where said brokering group can be assigned by said hub or by another said entity acting with authority from said hub. Johnson discloses a system and method for matching energy suppliers in need of resources to those with a surplus of resources in an auction format. A bidding moderator (Moderator) receives offers from competing suppliers specifying the economic terms each is willing to offer to end users for estimated quantities of electric power or gas supply in separate auctions. The bidding process to supply electric power will be conducted separate and apart from the bidding process to supply natural gas. Power generators will compete only with other power generators. Gas producers will compete only with other gas producers (see at least: abstract; [0003]). The Examiner notes that the different auctions constitute brokering groups with multiple entities. Furthermore, the entities are matched in their specific auctions (i.e. within the brokering group), and thereby are involved in zero or more brokering groups. Additionally, a moderator (i.e. entity acting with authority) can select those Providers from whom participating end users or resellers will be provided electric power or natural gas and can change that selection at any time (see at least: [0003]). It would have been obvious to one of ordinary skill in the art at the time of invention to modify the invention of Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia to include matching entities from one or more brokering groups with corresponding dearth and surplus in order to stimulate this competition and facilitate the consumer's ability (and that of resellers) to make economic choices between providers. (see at least: Johnson, abstract, 0003).

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7. Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia in further view of Johnson as applied to claims 8-10 and 12-15, and in further view of Dutta (US 20030028470).

Regarding claim 11, Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia in further view of Johnson teach all of the above as noted and further teaches brokering deals to mitigate a shortage/surplus of supplies (see at least: Wong, [0754] to [0756]; FIG. 10 and 11). Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia in further view of Johnson, however, does not teach where each entity is kept secret until after said brokered deal is complete, whereby said entities cannot broker said deal without said hub.

Dutta discloses a system and method for completing anonymous transactions to protect suppliers from being defrauded using enhanced certificates issued from a certificate authority server (see at least: [0003]; [0005]). A purchaser or supplier may input instructions requesting an enhanced certificate where the purchaser node or supplier server transmits the instructions over the Internet to the certificate authority server (see at least: [0014]; [0015]). The enhanced certificate provides a more secure anonymous transaction (see at least: [0017]; FIG. 2). A hyperlink may be provided on a web site for access by the requestor by the aggregate exchange server (see at least: [0006]). The aggregate exchange server acts as a *hub* houses tables pertinent to the completion of anonymous transactions (see at least: FIG. 3B-3E). The Examiner further notes that the

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certificate is registered and must be approved by the aggregate exchange server otherwise the transaction is terminated (see at least: FIG. 5 element 340).

It would have been obvious to one of ordinary skill in the art at the time of invention to modify the invention of Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia in further view of Johnson to include keeping supplier identities secret as taught by Dutta in order to prevent outside entities from defrauding the supplier, thereby improving the security of transactions (see at least: Dutta, 0003, 0005).

8. Claims 22-23, 26-27, and 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia, as applied to claims 1-2, 4-7, 16-20, 24-25, and 31, and further in view of Harm et al. (US 20030040823, herein referred to as Harm).

Regarding claims 22-23, Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia teaches all of the above as noted and further teaches brokering deals to mitigate a shortage/surplus of supplies (see at least: Wong, [0109]; [0111]; [0754] to [0756]; FIG. 10 and 11). Wong, however, does not teach a blind design element capable of directing suppliers to use any design that meets design specifications, wherein the blind design element is responsive to a comparison of an estimated cost of optimization and an estimated possible cost savings due to design specifications. Wong also fails to teach comparing the overall projected cost of a particular design based upon process from multiple suppliers and determining the most cost efficient way to manufacture a design. Harm discloses a system and method for optimizing measured values associated with components of a product. Users may request bids on various products or assemblies having multiple components (e.g. car mirror assembly). Suppliers of the requested product or assembly may manufacture the assembly under a number of different designs that meet user criteria (i.e. the system allows a supplier to use any design that meets design specifications). The measure values received from suppliers of a product may represent the cost estimates for supplying these components, that is, bids by suppliers on the cost of supply of these components to the user, thereby providing a comparison of an estimated cost of optimization and an estimated possible cost savings. The invention allows for minimization (i.e.

most cost efficient) of cost (see at least: [0028] to [0031]). The Examiner further notes that when a supplier has been selected based on a cost comparison, the user directs the supplier to use a design meeting design specifications (i.e. the "any design" from above). It would have been obvious to one of ordinary skill in the art at the time of invention to modify the invention of Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia to have included a blind design element responsive to provide comparisons of estimated cost as taught by Harm in order to allow a user (e.g. trading partner, business entity, etc.) to obtain products, components, or the like at minimal cost, thereby decreasing manufacturing costs and increasing revenue (see at least: Harm, 0002-0003).

Regarding claims 26, 27, and 30, Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia teaches all of the above as noted and further teaches brokering deals to mitigate a shortage/surplus of supplies (see at least: [0109]; [0111]; [0754] to [0756]; FIG. 10 and 11). Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia, however, does not teach a blind design element capable of directing suppliers to use any design that meets design specifications, wherein the blind design element is responsive to a comparison of an estimated cost of optimization and an estimated possible cost savings due to design specifications. Wong also fails to teach comparing the overall projected cost of a particular design based upon process from multiple suppliers and determining the most cost efficient way to manufacture a design. Harm discloses a system and method for optimizing measured values associated with components of a product. Users may request bids on various products or

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assemblies having multiple components (e.g. car mirror assembly). Suppliers of the requested product or assembly may manufacture the assembly under a number of different designs that meet user criteria (i.e. the system allows a supplier to use any design that meets design specifications). The measure values received from suppliers of a product may represent the cost estimates for supplying these components, that is, bids by suppliers on the cost of supply of these components to the user, thereby providing a comparison of an estimated cost of optimization and an estimated possible cost savings. The invention allows for minimization (i.e. most cost efficient) of cost (see at least: [0028] to [0031]). The Examiner further notes that when a supplier has been selected based on a cost comparison, the user directs the supplier to use a design meeting design specifications (i.e. the "any design" from above). It would have been obvious to one of ordinary skill in the art at the time of invention to modify the invention of Wong in view of Ouchi in view of Beurskens in view of Rivera in view of Yehia to include a blind design element responsive to provide comparisons of estimated cost as taught by Harm in order to allow a user (e.g. trading partner, business entity, etc.) to obtain products, components, or the like at minimal cost, thereby decreasing manufacturing costs and increasing revenue (see at least: Harm, 0002-0003).

Conclusion

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to WILLIAM J. ALLEN whose telephone number is (571)272-

1443. The examiner can normally be reached on 8:00 AM to 5:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Jeff A. Smith can be reached on (571) 272-6763. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

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/Jeffrey A. Smith/

Supervisory Patent Examiner, Art Unit

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/William J Allen/

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